

# Symmetry

## Symmetries, constants of motion and degeneracies

Looking at the Lagrange equation of motion

$$\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}_i} \right) - \frac{\partial \mathcal{L}}{\partial q_i} = 0$$

of classical mechanics one can see that if the Lagrangian  $\mathcal{L}(q_i, \dot{q}_i)$  is invariant under translations, i.e.

$$\mathcal{L}(q_i, \dot{q}_i) \longrightarrow \mathcal{L}(q_i + \delta q_i, \dot{q}_i) = \mathcal{L}(q_i, \dot{q}_i),$$

the momentum

$$p_i = \frac{\partial \mathcal{L}}{\partial \dot{q}_i}$$

is a conserved quantity, i.e.

$$\frac{dp_i}{dt} = \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}_i} \right) = 0.$$

Formulating classical mechanics using the Hamiltonian function  $\mathcal{H}(q_i, p_i)$  the equations of motion take the forms

$$\begin{aligned} \dot{p}_i &= -\frac{\partial \mathcal{H}}{\partial q_i} \\ \dot{q}_i &= \frac{\partial \mathcal{H}}{\partial p_i}. \end{aligned}$$

Also looking at these one can see that if  $\mathcal{H}$  is symmetric under the operation

$$q_i \longrightarrow q_i + \delta q_i$$

there exists a conserved quantity:

$$\dot{p}_i = 0.$$

In quantum mechanics operations of that kind (translations, rotations, ...) are associated with a unitary *symmetry operator*.

Let  $\mathcal{S}$  be an arbitrary symmetry operator. We say that the Hamiltonian  $H$  is *symmetric*, if

$$[\mathcal{S}, H] = 0,$$

or due to the unitarity of the operator  $\mathcal{S}$  equivalently

$$\mathcal{S}^\dagger H \mathcal{S} = H.$$

The matrix elements of the Hamiltonian are then invariant under that operation.

In the case of a continuum symmetry we can look at infinitesimal operations

$$\mathcal{S} = 1 - \frac{i\epsilon}{\hbar} G,$$

where the Hermitean operator  $G$  is the *generator* of that symmetry. From the condition

$$\mathcal{S}^\dagger H \mathcal{S} = H$$

it follows now

$$[G, H] = 0,$$

so according to the Heisenberg equation of motion

$$\frac{dA}{dt} = \frac{1}{i\hbar} [A, H]$$

we have

$$\frac{dG}{dt} = 0.$$

In the Heisenberg formalism the observable  $G$  is thus a constant of motion. if  $H$  is invariant for example under

- translations then the momentum is constant of motion.
- rotations then the angular momentum is a constant motion.

Let us suppose now that the Hamiltonian is symmetric under the operations  $\mathcal{S}$  generated by  $G$ :

$$\begin{aligned} \mathcal{S}^\dagger H \mathcal{S} &= H \\ [\mathcal{S}, H] &= 0 \\ [G, H] &= 0. \end{aligned}$$

Let  $|g'\rangle$  be the eigenstates of  $G$ , i.e.

$$G|g'\rangle = g'|g'\rangle$$

and let the system at the moment  $t_0$  be in the eigenstate  $|g'\rangle$  of  $G$ . Since the time evolution operator is a functional of the Hamiltonian only,

$$U = U[H],$$

so

$$[G, U] = 0.$$

At the moment  $t$  we then have

$$\begin{aligned} G|g', t_0; t\rangle &= GU(t_0, t)|g'\rangle = U(t_0, t)G|g'\rangle \\ &= g'|g', t_0; t\rangle, \end{aligned}$$

or an eigenstate associated with a particular eigenvalue of  $G$  remains always an eigenstate belonging to the same eigenvalue.

Let us consider now the energy eigenstates  $|n\rangle$ , i.e.

$$H|n\rangle = E_n|n\rangle.$$

When the Hamiltonian is symmetric under the operations  $\mathcal{S}$  we have

$$H(\mathcal{S}|n\rangle) = \mathcal{S}H|n\rangle = E_n\mathcal{S}|n\rangle.$$

If now

$$|n\rangle \neq \mathcal{S}|n\rangle,$$

then the energy states  $E_n$  are degenerate. Thus a symmetry is also usually associated with a degeneracy.

Let us suppose now that the symmetry operation  $\mathcal{S}$  can be parametrized with a continuous quantity, say  $\lambda$ :

$$\mathcal{S} = \mathcal{S}(\lambda).$$

When the Hamiltonian is symmetric under these operations all states  $\mathcal{S}(\lambda)|n\rangle$  have the same energy.

**Example** Rotations  $\mathcal{D}(R)$ .

If

$$[\mathcal{D}(R), H] = 0,$$

then

$$[\mathbf{J}, H] = 0, \quad [\mathbf{J}^2, H] = 0.$$

So there exist simultaneous eigenvectors  $|n; jm\rangle$  of the operators  $H$ ,  $\mathbf{J}^2$  ja  $J_z$ . Now all rotated states

$$\mathcal{D}(R)|n; jm\rangle$$

belong to the same energy eigenvalue. We know that

$$\mathcal{D}(R)|n; jm\rangle = \sum_{m'} |n; jm'\rangle \mathcal{D}_{m'm}^{(j)}(R),$$

that is, every rotated state is a superposition of  $(2j+1)$  linearly independent states. The degeneracy is thus  $(2j+1)$ -fold.

**Example** Atomic electron.

The potential acting on an electron is of form

$$U = V(r) + V_{LS} \mathbf{L} \cdot \mathbf{S}.$$

Now

$$[\mathbf{J}, H] = 0, \quad [\mathbf{J}^2, H] = 0,$$

where

$$\mathbf{J} = \mathbf{L} + \mathbf{S}.$$

The energy levels are thus  $(2j+1)$ -foldly degenerated. Let's set the atom in magnetic field parallel to the  $z$ -axis. The Hamiltonian is then appended by the term

$$Z = cS_z.$$

Now

$$[\mathbf{J}^2, S_z] \neq 0,$$

so the rotation symmetry is broken and the  $(2j+1)$ -fold degeneracy lifted.