

## Parity

The parity or space inversion operation converts a right handed coordinate system to left handed:

$$x \longrightarrow -x, y \longrightarrow -y, z \longrightarrow -z.$$

This is a case of a *non continuous* operation, i.e. the operation cannot be composed of infinitesimal operations. Thus the non continuous operations have no generator. We consider the parity operation, i.e. we let the parity operator  $\pi$  to act on vectors of a Hilbert space and keep the coordinate system fixed:

$$|\alpha\rangle \longrightarrow \pi|\alpha\rangle.$$

Like in all symmetry operations we require that  $\pi$  is unitary, i.e.

$$\pi^\dagger \pi = 1.$$

Furthermore we require:

$$\langle \alpha | \pi^\dagger \mathbf{x} \pi | \alpha \rangle = -\langle \alpha | \mathbf{x} | \alpha \rangle \quad \forall |\alpha\rangle.$$

So we must have

$$\pi^\dagger \mathbf{x} \pi = -\mathbf{x},$$

or

$$\pi \mathbf{x} = -\mathbf{x} \pi.$$

The operators  $\mathbf{x}$  ja  $\pi$  *anticommute*. Let  $|\mathbf{x}'\rangle$  be a position eigenstate, i.e.

$$\mathbf{x}|\mathbf{x}'\rangle = \mathbf{x}'|\mathbf{x}'\rangle.$$

Then

$$\mathbf{x} \pi |\mathbf{x}'\rangle = -\pi \mathbf{x} |\mathbf{x}'\rangle = (-\mathbf{x}') \pi |\mathbf{x}'\rangle,$$

and we must have

$$\pi |\mathbf{x}'\rangle = e^{i\varphi} |-\mathbf{x}'\rangle.$$

The phase is usually taken to be  $\varphi = 0$ , so

$$\pi |\mathbf{x}'\rangle = |-\mathbf{x}'\rangle.$$

Applying the parity operator again we get

$$\pi^2 |\mathbf{x}'\rangle = |\mathbf{x}'\rangle$$

or

$$\pi^2 = 1.$$

We see that

- the eigenvalues of the operator  $\pi$  can be only  $\pm 1$ ,
- $\pi^{-1} = \pi^\dagger = \pi$ .

### Momentum and parity

We require that operations

- translation followed by space inversion
- space inversion followed by translation to the opposite direction

are equivalent:

$$\pi \mathcal{T}(d\mathbf{x}') = \mathcal{T}(-d\mathbf{x}') \pi.$$

Substituting

$$\mathcal{T}(d\mathbf{x}') = 1 - \frac{i}{\hbar} d\mathbf{x}' \cdot \mathbf{p},$$

we get the condition

$$\{\pi, \mathbf{p}\} = 0 \text{ or } \pi^\dagger \mathbf{p} \pi = -\mathbf{p},$$

or the momentum changes its sign under the parity operation.

### Angular momentum and parity

In the case of the orbital angular momentum

$$\mathbf{L} = \mathbf{x} \times \mathbf{p}$$

one can easily evaluate

$$\begin{aligned} \pi^\dagger \mathbf{L} \pi &= \pi^\dagger \mathbf{x} \times \mathbf{p} \pi = \pi^\dagger \mathbf{x} \pi \times \pi^\dagger \mathbf{p} \pi = (-\mathbf{x}) \times (-\mathbf{p}) \\ &= \mathbf{L}, \end{aligned}$$

so the parity and the angular momentum commute:

$$[\pi, \mathbf{L}] = 0.$$

In  $\mathcal{R}^3$  the parity operator is the matrix

$$P = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

so quite obviously

$$PR = RP, \quad \forall R \in O(3).$$

We require that the corresponding operators of the Hilbert space satisfy the same condition, i.e.

$$\pi \mathcal{D}(R) = \mathcal{D}(R) \pi.$$

Looking at the infinitesimal rotation

$$\mathcal{D}(\epsilon \hat{\mathbf{n}}) = 1 - i \mathbf{J} \cdot \hat{\mathbf{n}} \epsilon / \hbar,$$

we see that

$$[\pi, \mathbf{J}] = 0 \text{ or } \pi^\dagger \mathbf{J} \pi = \mathbf{J},$$

which is equivalent to the transformation of the orbital angular momentum.

We see that under

- rotations  $\mathbf{x}$  and  $\mathbf{J}$  transform similarly, that is, like vectors or tensors of rank 1.
- space inversions  $\mathbf{x}$  is odd and  $\mathbf{J}$  even.

We say that under the parity operation

- odd vectors are *polar*,
- even vectors are *axial* or *pseudovectors*.

Let us consider such scalar products as  $\mathbf{p} \cdot \mathbf{x}$  and  $\mathbf{S} \cdot \mathbf{x}$ . One can easily see that under rotation these are invariant, scalars. Under the parity operation they transform like

$$\begin{aligned}\pi^\dagger \mathbf{p} \cdot \mathbf{x} \pi &= (-\mathbf{p}) \cdot (-\mathbf{x}) = \mathbf{p} \cdot \mathbf{x} \\ \pi^\dagger \mathbf{S} \cdot \mathbf{x} \pi &= \mathbf{S} \cdot (-\mathbf{x}) = -\mathbf{S} \cdot \mathbf{x}.\end{aligned}$$

We say that quantities behaving under rotations like scalars, spherical tensors of rank 0, which under the parity operation are

- even, are (ordinary) *scalars*,
- odd, are *pseudoscalars*.

### Wave functions and parity

Let  $\psi$  be the wave function of a spinless particle in the state  $|\alpha\rangle$ , i.e.

$$\psi(\mathbf{x}') = \langle \mathbf{x}' | \alpha \rangle.$$

Since the position eigenstates satisfy

$$\pi |\mathbf{x}'\rangle = |-\mathbf{x}'\rangle,$$

the wave function of the space inverted state is

$$\langle \mathbf{x}' | \pi | \alpha \rangle = \langle -\mathbf{x}' | \alpha \rangle = \psi(-\mathbf{x}').$$

Suppose that  $|\alpha\rangle$  is a parity eigenstate, i.e.

$$\pi |\alpha\rangle = \pm |\alpha\rangle.$$

The corresponding wave function obeys the relation

$$\psi(-\mathbf{x}') = \langle \mathbf{x}' | \pi | \alpha \rangle = \pm \langle \mathbf{x}' | \alpha \rangle = \pm \psi(\mathbf{x}'),$$

i.e. it is an even or odd function of its argument.

**Note** Not all physically relevant wave functions have parity. For example,

$$[\mathbf{p}, \pi] \neq 0,$$

so a momentum eigenstate is not an eigenstate of the parity. The wave function corresponding to an eigenstate of the momentum is the plane wave

$$\psi_{\mathbf{p}'}(\mathbf{x}') = e^{i\mathbf{p}' \cdot \mathbf{x}' / \hbar},$$

which is neither even nor odd.

Because

$$[\pi, \mathbf{L}] = 0,$$

the eigenstate  $|\alpha, lm\rangle$  of the orbital angular momentum ( $\mathbf{L}^2, L_z$ ) is also an eigenstate of the parity. Now

$$R_\alpha(r) Y_l^m(\theta, \phi) = \langle \mathbf{x}' | \alpha, lm \rangle.$$

In spherical coordinates the transformation  $\mathbf{x}' \rightarrow -\mathbf{x}'$  maps to

$$\begin{aligned}r &\longrightarrow r \\ \theta &\longrightarrow \pi - \theta \quad (\cos \theta \longrightarrow -\cos \theta) \\ \phi &\longrightarrow \phi + \pi \quad (e^{im\phi} \longrightarrow (-1)^m e^{im\phi}).\end{aligned}$$

The explicit expression for spherical functions is

$$Y_l^m(\theta, \phi) = (-1)^m \sqrt{\frac{(2l+1)(l-m)!}{4\pi(l+m)!}} P_l^m(\cos \theta) e^{im\phi},$$

from which as a special case,  $m=0$ , we obtain

$$Y_l^0(\theta, \phi) = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos \theta).$$

Depending on the degree  $l$  of the Legendre polynomial it is either even or odd:

$$P_l(-z) = (-1)^l P_l(z).$$

We see that

$$\langle \mathbf{x}' | \pi | \alpha, l0 \rangle = (-1)^l \langle \mathbf{x}' | \alpha, l0 \rangle,$$

so the state vectors obey

$$\pi |\alpha, l0\rangle = (-1)^l |\alpha, l0\rangle.$$

Now

$$[\pi, L_\pm] = 0$$

and

$$L_\pm^r |\alpha, l0\rangle \propto |\alpha, l, \pm r\rangle,$$

so the orbital angular momentum states satisfy the relation

$$\pi |\alpha, lm\rangle = (-1)^l |\alpha, lm\rangle.$$

### Theorem 1 If

$$[H, \pi] = 0,$$

and  $|n\rangle$  is an eigenstate of the Hamiltonian  $H$  belonging to the nondegenerate eigenvalue  $E_n$ , i.e.

$$H|n\rangle = E_n|n\rangle,$$

then  $|n\rangle$  is also an eigenstate of the parity.

**Proof:** Using the property  $\pi^2 = 1$  one can easily see that the state

$$\frac{1}{2}(1 \pm \pi)|n\rangle$$

is a parity eigenstate belonging to the eigenvalue  $\pm 1$ . On the other hand, this is also an eigenstate of the Hamiltonian  $H$  with the energy  $E_n$ :

$$H\left(\frac{1}{2}(1 \pm \pi)|n\rangle\right) = E_n \frac{1}{2}(1 \pm \pi)|n\rangle.$$

Since we supposed the state  $|n\rangle$  to be non degenerate the states  $|n\rangle$  and  $\frac{1}{2}(1 \pm \pi)|n\rangle$  must be the same excluding a phase factor,

$$\frac{1}{2}(1 \pm \pi)|n\rangle = e^{i\varphi}|n\rangle,$$

so the state  $|n\rangle$  is a parity eigen state belonging to the eigenvalue  $\pm 1$  ■

**Example** The energy states of a one dimensional harmonic oscillator are non degenerate and the Hamiltonian even, so the wave functions are either even or odd.

**Note** The nondegeneracy condition is essential. For example, the Hamiltonian of a free particle,  $H = \frac{p^2}{2m}$ , is even but the energy states

$$H|\mathbf{p}'\rangle = \frac{p'^2}{2m}|\mathbf{p}'\rangle$$

are not eigenstates of the parity because

$$\pi|\mathbf{p}'\rangle = |-\mathbf{p}'\rangle.$$

The condition of the theorem is not valid because the states  $|\mathbf{p}'\rangle$  and  $|-\mathbf{p}'\rangle$  are degenerate. We can form parity eigenstates

$$1/\sqrt{2}(|\mathbf{p}'\rangle \pm |-\mathbf{p}'\rangle),$$

which are also degenerate energy (but not momentum) eigenstates. The corresponding wave functions

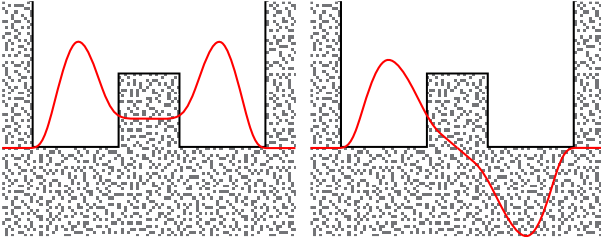
$$\psi_{\pm\mathbf{p}'}(\mathbf{x}') = \langle\mathbf{x}'|\pm\mathbf{p}'\rangle = e^{\pm i\mathbf{p}'\cdot\mathbf{x}'/\hbar}$$

are neither even nor odd, whereas

$$\begin{aligned}\langle\mathbf{x}'|(|\mathbf{p}'\rangle + |-\mathbf{p}'\rangle) &\propto \cos\mathbf{p}'\cdot\mathbf{x}'/\hbar \\ \langle\mathbf{x}'|(|\mathbf{p}'\rangle - |-\mathbf{p}'\rangle) &\propto \sin\mathbf{p}'\cdot\mathbf{x}'/\hbar\end{aligned}$$

are.

**Example** One dimensional symmetric double well



## Symmetric (S)    Antisymmetric (A)

The ground state is the symmetric state  $|S\rangle$  and the first excited state the antisymmetric state  $|A\rangle$ :

$$\begin{aligned}H|S\rangle &= E_S|S\rangle \\ \pi|S\rangle &= |S\rangle \\ H|A\rangle &= E_A|A\rangle \\ \pi|A\rangle &= -|A\rangle,\end{aligned}$$

where  $E_S < E_A$ . When the potential barrier  $V$  between the wells increases the energy difference between the states decreases:

$$\lim_{V\rightarrow\infty} (E_A - E_S) \rightarrow 0.$$

We form the superpositions

$$\begin{aligned}|L\rangle &= \frac{1}{\sqrt{2}}(|S\rangle + |A\rangle) \\ |R\rangle &= \frac{1}{\sqrt{2}}(|S\rangle - |A\rangle),\end{aligned}$$

which are neither energy nor parity eigenstates.

Let us suppose that at the moment  $t_0 = 0$  the state of the system is  $|L\rangle$ . At a later moment,  $t$ , the system is described by the state vector

$$\begin{aligned}|L, t_0 = 0; t\rangle &= \frac{1}{\sqrt{2}}(e^{-iE_S t/\hbar}|S\rangle + e^{-iE_A t/\hbar}|A\rangle) \\ &= \frac{1}{\sqrt{2}}e^{-iE_S t/\hbar}(|S\rangle + e^{-i(E_A - E_S)t/\hbar}|A\rangle),\end{aligned}$$

because now the time evolution operator is simply

$$\mathcal{U}(t, t_0 = 0) = e^{-iHt/\hbar}.$$

At the moment  $t = T/2 = 2\pi\hbar/2(E_A - E_S)$  the system is in the pure  $|R\rangle$  state and at the moment  $t = T$  again in its pure initial state  $|L\rangle$ . The system oscillates between the states  $|L\rangle$  and  $|R\rangle$  at the angular velocity

$$\omega = \frac{E_A - E_S}{\hbar}.$$

When  $V \rightarrow \infty$ , then  $E_A \rightarrow E_S$ . Then the states  $|L\rangle$  and  $|R\rangle$  are degenerate energy eigenstates but not parity eigenstates. A particle which is localized in one of the wells will remain there forever. Its wave function does not, however, obey the same symmetry as the Hamiltonian: we are dealing with a *broken symmetry*.

### Selection rules

Suppose that the states  $|\alpha\rangle$  and  $|\beta\rangle$  are parity eigenstates:

$$\begin{aligned}\pi|\alpha\rangle &= \epsilon_\alpha|\alpha\rangle \\ \pi|\beta\rangle &= \epsilon_\beta|\beta\rangle,\end{aligned}$$

where  $\epsilon_\alpha$  and  $\epsilon_\beta$  are the parities ( $\pm 1$ ) of the states. Now

$$\langle\beta|\mathbf{x}|\alpha\rangle = \langle\beta|\pi^\dagger\pi\mathbf{x}\pi^\dagger\pi|\alpha\rangle = -\epsilon_\alpha\epsilon_\beta\langle\beta|\mathbf{x}|\alpha\rangle,$$

so

$$\langle\beta|\mathbf{x}|\alpha\rangle = 0 \text{ unless } \epsilon_\alpha = -\epsilon_\beta.$$

**Example** The intensity of the dipole transition is proportional to the matrix element of the operator  $\mathbf{x}$  between the initial and final states. Dipole transitions are thus possible between states which have opposite parity.

**Example** Dipole moment.

If

$$[H, \pi] = 0,$$

then no non degenerate state has dipole moment:

$$\langle n|\mathbf{x}|n\rangle = 0.$$

The same holds for any quantity if the corresponding operator  $o$  is odd:

$$\pi^\dagger o \pi = -o.$$