

Perturbation theory

Stationary perturbation methods

Let us suppose that

- we have solved completely the problem

$$H_0|n^{(0)}\rangle = E_n^{(0)}|n^{(0)}\rangle.$$

The basis $\{|n^{(0)}\rangle\}$ is now complete.

- the states $|n^{(0)}\rangle$ are non degenerate.
- we want to solve the problem

$$(H_0 + \lambda V)|n\rangle_\lambda = E_n^{(\lambda)}|n\rangle_\lambda.$$

Usually the index λ is dropped off.

When we denote

$$\Delta_n \equiv E_n - E_n^{(0)},$$

the eigenvalue equation to be solved takes the form

$$(E_n^{(0)} - H_0)|n\rangle = (\lambda V - \Delta_n)|n\rangle.$$

Note Because the expression $(E_n^{(0)} - H_0)^{-1}|n^{(0)}\rangle$ is undefined the operator $(E_n^{(0)} - H_0)^{-1}$ is not well defined. So, in the equation above we cannot invert the operator $(E_n^{(0)} - H_0)$.

Now

$$\langle n^{(0)}|\lambda V - \Delta_n|n\rangle = \langle n^{(0)}|E_n^{(0)} - H_0|n\rangle,$$

so in the state $(\lambda V - \Delta_n)|n\rangle$ there is no component along the state $|n^{(0)}\rangle$.

We define a projection operator as

$$\phi_n = 1 - |n^{(0)}\rangle\langle n^{(0)}| = \sum_{k \neq n} |k^{(0)}\rangle\langle k^{(0)}|.$$

Now

$$\frac{1}{E_n^{(0)} - H_0}\phi_n = \sum_{k \neq n} \frac{1}{E_n^{(0)} - E_k^{(0)}}|k^{(0)}\rangle\langle k^{(0)}|$$

and

$$(\lambda V - \Delta_n)|n\rangle = \phi_n(\lambda V - \Delta_n)|n\rangle.$$

Since in the limit $\lambda \rightarrow 0$ we must have

$$|n\rangle \rightarrow |n^{(0)}\rangle,$$

the formal solution is of the form

$$|n\rangle = c_n(\lambda)|n^{(0)}\rangle + \frac{1}{E_n^{(0)} - H_0}\phi_n(\lambda V - \Delta_n)|n\rangle,$$

where

$$\lim_{\lambda \rightarrow 0} c_n(\lambda) = 1$$

and

$$c_n(\lambda) = \langle n^{(0)}|n\rangle.$$

Diverting from the normal procedure we normalize

$$\langle n^{(0)}|n\rangle = c_n(\lambda) = 1.$$

We write

$$\begin{aligned} |n\rangle &= |n^{(0)}\rangle + \lambda|n^{(1)}\rangle + \lambda^2|n^{(2)}\rangle + \dots \\ \Delta_n &= \lambda\Delta_n^{(1)} + \lambda^2\Delta_n^{(2)} + \dots \end{aligned}$$

Because

$$\langle n^{(0)}|\lambda V - \Delta_n|n\rangle = 0,$$

we have, on the other hand

$$\Delta_n = \lambda\langle n^{(0)}|V|n\rangle.$$

Thus we get

$$\begin{aligned} \lambda\Delta_n^{(1)} + \lambda^2\Delta_n^{(2)} + \dots \\ = \lambda\langle n^{(0)}|V|n^{(0)}\rangle + \lambda^2\langle n^{(0)}|V|n^{(1)}\rangle + \dots \end{aligned}$$

Equalizing the coefficients of the powers of the parameter λ we get

$$\begin{aligned} \mathcal{O}(\lambda^1): \quad \Delta_n^{(1)} &= \langle n^{(0)}|V|n^{(0)}\rangle \\ \mathcal{O}(\lambda^2): \quad \Delta_n^{(2)} &= \langle n^{(0)}|V|n^{(1)}\rangle \\ &\vdots \\ \mathcal{O}(\lambda^N): \quad \Delta_n^{(N)} &= \langle n^{(0)}|V|n^{(N-1)}\rangle \\ &\vdots \end{aligned}$$

We substitute into the expression

$$|n\rangle = |n^{(0)}\rangle + \frac{\phi_n}{E_n^{(0)} - H_0}(\lambda V - \Delta_n)|n\rangle$$

for the state vector the power series of the state vector and the energy correction and we get

$$\begin{aligned} |n^{(0)}\rangle + \lambda|n^{(1)}\rangle + \lambda^2|n^{(2)}\rangle + \dots \\ = |n^{(0)}\rangle + \frac{\phi_n}{E_n^{(0)} - H_0}(\lambda V - \lambda\Delta_n^{(1)} - \lambda^2\Delta_n^{(2)} - \dots) \\ \times (|n^{(0)}\rangle + \lambda|n^{(1)}\rangle + \dots). \end{aligned}$$

Equalizing the coefficients of the linear λ -terms we get in the first order

$$\begin{aligned} \mathcal{O}(\lambda): \quad |n^{(1)}\rangle \\ = \frac{\phi_n}{E_n^{(0)} - H_0}V|n^{(0)}\rangle - \frac{\Delta_n^{(1)}}{E_n^{(0)} - H_0}\phi_n|n^{(0)}\rangle \\ = \frac{\phi_n}{E_n^{(0)} - H_0}V|n^{(0)}\rangle, \end{aligned}$$

because

$$\phi_n\Delta_n^{(1)}|n^{(0)}\rangle = 0.$$

We substitute $|n^{(1)}\rangle$ into the expression

$$\Delta_n^{(2)} = \langle n^{(0)}|V|n^{(1)}\rangle,$$

so

$$\Delta_n^{(2)} = \langle n^{(0)}|V\frac{\phi_n}{E_n^{(0)} - H_0}V|n^{(0)}\rangle.$$

We substitute this further into the power series of the state vectors and we get for the coefficients of λ^2 the condition

$$\mathcal{O}(\lambda^2) : |n^{(2)}\rangle = \frac{\phi_n}{E_n^{(0)} - H_0} V \frac{\phi_n}{E_n^{(0)} - H_0} V |n^{(0)}\rangle - \frac{\phi_n}{E_n^{(0)} - H_0} \langle n^{(0)} | V | n^{(0)} \rangle \frac{\phi_n}{E_n^{(0)} - H_0} V |n^{(0)}\rangle.$$

Likewise we could continue to higher powers of the parameter λ . This method is known as the *Rayleigh-Schrödinger perturbation theory*. The explicit expression for the second order energy correction will be

$$\begin{aligned} \Delta_n^{(2)} &= \langle n^{(0)} | V \frac{\phi_n}{E_n^{(0)} - H_0} V | n^{(0)} \rangle \\ &= \sum_{k,l} \langle n^{(0)} | V | k^{(0)} \rangle \langle k^{(0)} | \frac{\phi_n}{E_n^{(0)} - H_0} | l^{(0)} \rangle \langle l^{(0)} | V | n^{(0)} \rangle \\ &= \sum_{k,l \neq n} V_{nk} \frac{\langle k^{(0)} | l^{(0)} \rangle}{E_n^{(0)} - E_l^{(0)}} V_{ln} \\ &= \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}}. \end{aligned}$$

Thus, up to the second order we have

$$\begin{aligned} \Delta_n &\equiv E_n - E_n^{(0)} \\ &= \lambda V_{nn} + \lambda^2 \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}} + \dots \end{aligned}$$

Correspondingly, up to the second order the state vector is

$$\begin{aligned} |n\rangle &= |n^{(0)}\rangle + \lambda \sum_{k \neq n} |k^{(0)}\rangle \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} \\ &+ \lambda^2 \sum_{k \neq n} |k^{(0)}\rangle \left(\sum_{l \neq n} \frac{V_{kl} V_{ln}}{(E_n^{(0)} - E_k^{(0)})(E_n^{(0)} - E_l^{(0)})} - \frac{V_{nn} V_{kn}}{(E_n^{(0)} - E_k^{(0)})^2} \right) \\ &+ \dots \end{aligned}$$

We see that the perturbation *mixes* in also other states (than $|n^{(0)}\rangle$).

We see that

- in the 1st order we need only the matrix element V_{nn} .
- in the 2nd order the energy levels i and j repel each other. Namely, if $E_i^{(0)} < E_j^{(0)}$, then the contributions of one of these states to the energy corrections of the other are

$$\begin{aligned} \Delta_i^{(2)} &= \frac{|V_{ij}|^2}{E_i^{(0)} - E_j^{(0)}} < 0 \\ \Delta_j^{(2)} &= \frac{|V_{ij}|^2}{E_j^{(0)} - E_i^{(0)}} > 0 \end{aligned}$$

and the energy levels move apart from each other.

Perturbation expansions converge if $|V_{ij}/(E_i^{(0)} - E_j^{(0)})|$ is "small". In general, no exact convergence criterion is known.

The state $|n\rangle$ is not normalized. We define the normalized state

$$|n\rangle_N = Z_n^{1/2} |n\rangle,$$

so that

$$\langle n^{(0)} | n \rangle_N = Z_n^{1/2} \langle n^{(0)} | n \rangle = Z_n^{1/2}.$$

Thus the normalization factor Z_n is the probability for the perturbed state to be in the unperturbed state.

The normalization condition

$${}_N \langle n | n \rangle_N = Z_n \langle n | n \rangle = 1$$

gives us

$$\begin{aligned} Z_n^{-1} &= \langle n | n \rangle = (\langle n^{(0)} | + \lambda \langle n^{(1)} | + \lambda^2 \langle n^{(2)} | + \dots) \\ &\quad \times (|n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \lambda^2 |n^{(2)}\rangle + \dots) \\ &= 1 + \lambda^2 \langle n^{(1)} | n^{(1)} \rangle + \mathcal{O}(\lambda^3) \\ &= 1 + \lambda^2 \sum_{k \neq n} \frac{|V_{kn}|^2}{(E_n^{(0)} - E_k^{(0)})^2} + \mathcal{O}(\lambda^3). \end{aligned}$$

Up to the order λ^2 the probability for the perturbed state to lie in the unperturbed state is thus

$$Z_n \approx 1 - \lambda^2 \sum_{k \neq n} \frac{|V_{kn}|^2}{(E_n^{(0)} - E_k^{(0)})^2}.$$

The latter term can be interpreted as the probability for the "leakage" of the system from the state $|n^{(0)}\rangle$ to other states.

Example The quadratic Stark effect.

We consider hydrogen like atoms, i.e. atoms with one electron outside a closed shell, under external uniform electric field parallel to the positive z -axis. Now

$$H_0 = \frac{\mathbf{p}^2}{2m} + V_0(r) \text{ and } V = -e|\mathbf{E}|z.$$

We suppose that the eigenstates of H_0 are non degenerate (not valid for hydrogen). The energy shift due to the external field is

$$\Delta_k = -e|\mathbf{E}|z_{kk} + e^2|\mathbf{E}|^2 \sum_{j \neq k} \frac{|z_{kj}|^2}{E_k^{(0)} - E_j^{(0)}} + \dots,$$

where

$$z_{kj} = \langle k^{(0)} | z | j^{(0)} \rangle.$$

Since we assumed the states $|k^{(0)}\rangle$ to be non degenerate they are eigenstates of the parity. So, according to the parity selection rule the matrix element of z_{kk} vanishes. Indices k and j are collective indices standing for the quantum number triplet (n, l, m) . According to the Wigner-Eckart theorem we have

$$\begin{aligned} z_{kj} &= \langle n', l' m' | z | n, l m \rangle \\ &= \langle l 1; m 0 | l 1; l' m' \rangle \frac{\langle n' l' || T^{(1)} || n l \rangle}{\sqrt{2l+1}}, \end{aligned}$$

where we have written the operator z as the spherical tensor

$$T_0^{(1)} = z.$$

In order to satisfy $z_{kj} \neq 0$ we must have

- $m' = m$ and
- $l' = l - 1, l, l + 1$. From these $l' = l$ is not suitable due to the parity selection rule.

So we get

$$\langle n', l' m' | z | n, l m \rangle = 0 \text{ unless } \begin{cases} l' = l \pm 1 \\ m' = m \end{cases}.$$

We define the polarizability α so that

$$\Delta = -\frac{1}{2}\alpha|\mathbf{E}|^2.$$

As a special case we consider the ground state $|0^{(0)}\rangle = |1, 00\rangle$ of hydrogen atom which is non degenerate when we ignore the spin. The perturbation expansion gives

$$\alpha = -2e^2 \sum_{k \neq 0}^{\infty} \frac{|\langle k^{(0)} | z | 1, 00 \rangle|^2}{E_0^{(0)} - E_k^{(0)}},$$

where the summing must be extended also over the continuum states.

Let us suppose that

$$E_0^{(0)} - E_k^{(0)} \approx \text{constant},$$

so that

$$\begin{aligned} \sum_{k \neq 0} |\langle k^{(0)} | z | 1, 00 \rangle|^2 &= \sum_{\text{all } k's} |\langle k^{(0)} | z | 1, 00 \rangle|^2 \\ &= \langle 1, 00 | z^2 | 1, 00 \rangle. \end{aligned}$$

In the spherically symmetric ground state we obviously have

$$\langle z^2 \rangle = \langle x^2 \rangle = \langle y^2 \rangle = \frac{1}{3} \langle r^2 \rangle.$$

Using the explicit wave functions we get

$$\langle z^2 \rangle = a_0^2.$$

Now

$$-E_0^{(0)} + E_k^{(0)} \geq -E_0^{(0)} + E_1^{(0)} = \frac{e^2}{2a_0} \left[1 - \frac{1}{4} \right],$$

so

$$\alpha < 2e^2 a_0^2 \frac{8a_0}{3e^2} = \frac{16a_0^3}{3} \approx 5.3a_0^3.$$

The exact summation gives

$$\alpha = \frac{9a_0^3}{2} = 4.5a_0^3.$$

Degeneracy

Let's suppose that the energy state $E_D^{(0)}$ is g -foldly degenerated:

$$H_0 |m^{(0)}\rangle = E_D^{(0)} |m^{(0)}\rangle, \quad \forall |m^{(0)}\rangle \in D, \quad \dim D = g.$$

We want to solve the problem

$$(H_0 + \lambda V) |l\rangle = E_l |l\rangle$$

with the boundary condition

$$\lim_{\lambda \rightarrow 0} |l\rangle \rightarrow \sum_{m \in D} \langle m^{(0)} | l^{(0)} \rangle |m^{(0)}\rangle,$$

i.e. we are looking for corrections to the degenerated states. With the help of the energy correction we have to solve

$$(E_D^{(0)} - H_0) |l\rangle = (\lambda V - \Delta_l) |l\rangle.$$

We write again

$$\begin{aligned} |l\rangle &= |l^{(0)}\rangle + \lambda |l^{(1)}\rangle + \lambda^2 |l^{(2)}\rangle + \dots \\ \Delta_l &= \lambda \Delta_l^{(1)} + \lambda^2 \Delta_l^{(2)} + \dots, \end{aligned}$$

so we get

$$\begin{aligned} (E_D^{(0)} - H_0) (|l^{(0)}\rangle + \lambda |l^{(1)}\rangle + \lambda^2 |l^{(2)}\rangle + \dots) \\ = (\lambda V - \lambda \Delta_l^{(1)} - \lambda^2 \Delta_l^{(2)} - \dots) \\ \times (|l^{(0)}\rangle + \lambda |l^{(1)}\rangle + \lambda^2 |l^{(2)}\rangle + \dots). \end{aligned}$$

Equalizing the coefficients of the powers of λ we get in the first order

$$\begin{aligned} (E_D^{(0)} - H_0) |l^{(1)}\rangle \\ = (V - \Delta_l^{(1)}) |l^{(0)}\rangle \\ = (V - \Delta_l^{(1)}) \left[\sum_{m \in D} |m^{(0)}\rangle \langle m^{(0)} | l^{(0)} \rangle \right]. \end{aligned}$$

Taking the scalar product with the vector $\langle m'^{(0)} |$ and recalling that

$$\langle m'^{(0)} | (E_D^{(0)} - H_0) = 0,$$

we end up with the simultaneous eigenvalue equations

$$\sum_m V_{m'm} \langle m^{(0)} | l^{(0)} \rangle = \Delta_l^{(1)} \langle m'^{(0)} | l^{(0)} \rangle.$$

The energy corrections $\Delta_l^{(1)}$ are obtained as eigenvalues. From the equation

$$(E_D^{(0)} - H_0) |l^{(1)}\rangle = (\lambda V - \Delta_l) |l^{(0)}\rangle$$

we also see that

$$\langle m^{(0)} | V - \Delta_l^{(1)} | l^{(0)} \rangle = 0 \quad \forall |m^{(0)}\rangle \in D.$$

Thus the vector $(V - \Delta_l^{(1)}) |l^{(0)}\rangle$ has no components in the subspace D . Defining a projection operator as

$$\phi_D = 1 - \sum_{m \in D}^g |m^{(0)}\rangle \langle m^{(0)}| = \sum_{k \notin D} |k^{(0)}\rangle \langle k^{(0)}|$$

we can write

$$(V - \Delta_l^{(1)})|l^{(0)}\rangle = \phi_D(V - \Delta_l^{(1)})|l^{(0)}\rangle = \phi_D V|l^{(0)}\rangle.$$

We get the equation

$$(E_D^{(0)} - H_0)|l^{(1)}\rangle = \phi_D(\lambda V - \Delta_l)|l^{(0)}\rangle,$$

where now the operator $(E_D^{(0)} - H_0)$ can be inverted:

$$\begin{aligned} |l^{(1)}\rangle &= \frac{\phi_D}{E_D^{(0)} - H_0} V|l^{(0)}\rangle \\ &= \sum_{k \notin D} \frac{|k^{(0)}\rangle V_{kl}}{E_D^{(0)} - E_k^{(0)}}. \end{aligned}$$

When we again normalize

$$\langle l^{(0)}|l\rangle = 1,$$

we get from the equation

$$(E_D^{(0)} - H_0)|l\rangle = (\lambda V - \Delta_l)|l\rangle$$

for the energy shift

$$\Delta_l = \lambda \langle l^{(0)}|V|l\rangle.$$

We substitute the power series and get

$$\begin{aligned} \lambda \langle l^{(0)}|V(|l^{(0)}\rangle + \lambda |l^{(1)}\rangle + \lambda^2 |l^{(2)}\rangle + \dots) \\ = \lambda \Delta_l^{(1)} + \lambda^2 \Delta_l^{(2)} + \dots \end{aligned}$$

The second order energy correction is now

$$\begin{aligned} \Delta_l^{(2)} &= \langle l^{(0)}|V|l^{(1)}\rangle = \langle l^{(0)}|V \frac{\phi_D}{E_D^{(0)} - H_0} V|l^{(0)}\rangle \\ &= \sum_{k \notin D} \frac{|V_{kl}|^2}{E_D^{(0)} - E_k^{(0)}}. \end{aligned}$$

Thus the perturbation calculation in a degenerate system proceeds as follows:

- 1° Identify the degenerated eigenstates. We suppose that their count is g . Construct the $g \times g$ -perturbation matrix V .
- 2° Diagonalize the perturbation matrix.
- 3° The resulting eigenvalues are first order corrections for the energy shifts. The corresponding eigenvectors are those zeroth order eigenvectors to which the corrected eigenvectors approach when $\lambda \rightarrow 0$.
- 4° Evaluate higher order corrections using non degenerate perturbation methods but omit in the summations all contributions coming from the degenerated state vectors of the space D .

Example The Stark effect in the hydrogen atom. The hydrogen 2s ($n = 2, l = 0, m = 0$) and 2p ($n = 2, l = 1, m = -1, 0, 1$) states are degenerate. Their energy is

$$E_D^{(0)} = -e^2/8a_0.$$

We put the atom in external electric field parallel to the z -axis:

$$V = -ez|\mathbf{E}|.$$

Now z is the $q = 0$ component of a spherical tensor:

$$z = T_0^{(1)}.$$

According to the parity selection rule the operator V now has nonzero matrix elements only between states with $l = 0$ and $l = 1$, and due to the m -selection rule all states must have the same m :

$$V = \begin{matrix} & \begin{matrix} 2s & 2p, 0 & 2p, 1 & 2p, -1 \end{matrix} \\ \begin{matrix} 2s \\ 2p, 0 \\ 2p, 1 \\ 2p, -1 \end{matrix} & \begin{pmatrix} 0 & \times & 0 & 0 \\ \times & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \end{matrix}.$$

The nonzero matrix elements are

$$\langle 2s|V|2p, m = 0\rangle = \langle 2p, m = 0|V|2s\rangle = 3ea_0|\mathbf{E}|.$$

The eigenvalues of the perturbation matrix are

$$\Delta_{\pm}^{(1)} = \pm 3ea_0|\mathbf{E}|$$

and the eigenvectors

$$|\pm\rangle = \frac{1}{\sqrt{2}}(|2s, m = 0\rangle \pm |2p, m = 0\rangle).$$

Note The energy shift is a linear function of the electric field. The states $|\pm\rangle$ are not parity eigenstates so it is perfectly possible that they have permanent electric dipole moment $\langle z \rangle \neq 0$.

Nearly degenerated states

Let the states $m \in D$ to be almost degenerate. We write

$$V = V_1 + V_2,$$

where

$$\begin{aligned} V_1 &= \sum_{m \in D} \sum_{m' \in D} |m^{(0)}\rangle \langle m^{(0)}|V|m'^{(0)}\rangle \langle m'^{(0)}| \\ V_2 &= V - V_1. \end{aligned}$$

We proceed so that

1. we diagonalize the Hamiltonian $H_0 + V_1$ exactly in the basis $\{|m^{(0)}\rangle\}$ and
2. handle the term V_2 like in an ordinary non degenerate perturbation theory. This is possible since

$$\langle m'^{(0)}|V_2|m^{(0)}\rangle = 0 \quad \forall m, m' \in D.$$

Example Weak periodic potential.

Now

$$H_0 = \frac{p^2}{2m}$$

and for the perturbation

$$V(x) = V(x + a).$$

We denote the unperturbed eigenstates by the wave vector:

$$H_0|k\rangle = \frac{\hbar^2 k^2}{2m}|k\rangle,$$

so that

$$E_k^{(0)} = \frac{\hbar^2 k^2}{2m}.$$

We impose the periodic boundary conditions

$$\langle x'|k\rangle = \psi_k(x') = \langle x' + L|k\rangle = \psi_k(x' + L),$$

for the wave function and get

$$\psi_k(x') = \frac{1}{\sqrt{L}} e^{ikx'}, \quad k = \frac{2\pi}{L}n, n \in I.$$

Because the potential V is periodic it can be represented as the Fourier series

$$V(x) = \sum_{n=-\infty}^{\infty} e^{inKx} V_n,$$

where

$$K = 2\pi/a$$

is the *reciprocal lattice vector*. The only nonzero matrix elements are now

$$\langle k + nK|V|k\rangle = V_n,$$

because

$$\begin{aligned} \langle k'|V|k\rangle &= \frac{1}{L} \sum_n V_n \int dx' e^{-ik'x'} e^{inKx'} e^{inkx'} \\ &= \sum_n V_n \delta_{k+nK, k'}. \end{aligned}$$

So the potential couples states

$$|k\rangle, |k + K\rangle, \dots, |k + nK\rangle, \dots$$

The corresponding energy denominators are

$$E_k^{(0)} - E_{k+nK}^{(0)}.$$

In general

$$E_k^{(0)} \neq E_{k+nK}^{(0)}$$

except when

$$k \approx -\frac{nK}{2}.$$

We suppose that the condition

$$k \neq -\frac{nK}{2}$$

holds safely. The first order state vectors are then

$$|k^{(1)}\rangle = |k\rangle + \sum_{n \neq 0} |k + nK\rangle \frac{V_n}{E_k^{(0)} - E_{k+nK}^{(0)}},$$

and the wave functions

$$\psi_k^{(1)}(x') = \frac{1}{\sqrt{L}} e^{ikx'} + \sum_{n \neq 0} \frac{1}{\sqrt{L}} e^{i(k+nK)x'} \frac{V_n}{E_k^{(0)} - E_{k+nK}^{(0)}}.$$

Correspondingly the energy up to the second order is

$$E_k^{(2)} = E_k^{(0)} + V_0 + \sum_{n \neq 0} \frac{|V_n|^2}{E_k^{(0)} - E_{k+nK}^{(0)}}.$$

Let us suppose now that

$$k \approx -\frac{nK}{2}.$$

We diagonalize the Hamiltonian in the basis

$$\{|k\rangle, |k + nK\rangle\},$$

i.e. we diagonalize the matrix

$$\begin{pmatrix} |k\rangle & |k + nK\rangle \\ |k\rangle & |k + nK\rangle \end{pmatrix} \begin{pmatrix} E_k^{(0)} + V_0 & V_n^* \\ V_n & E_{k+nK}^{(0)} + V_0 \end{pmatrix}.$$

Its eigenvalues are

$$\begin{aligned} E_{k\pm} &= V_0 + \frac{E_k^{(0)} + E_{k+nK}^{(0)}}{2} \\ &\pm \sqrt{\left(\frac{E_k^{(0)} - E_{k+nK}^{(0)}}{2}\right)^2 + |V_n|^2}. \end{aligned}$$

When $|E_k^{(0)} - E_{k+nK}^{(0)}| \gg |V_n|$, it reduces to two solutions

$$\begin{aligned} E_{k+} &= E_k^{(0)} + V_0 \\ E_{k-} &= E_{k+nK}^{(0)} + V_0, \end{aligned}$$

which are first order corrected energies. In the limiting case we get

$$\lim_{k \rightarrow -nK/2} E_{k\pm} = E_{nK/2}^{(0)} + V_0 \pm |V_n|.$$

Brillouin-Wigner perturbation theory

We start with the Schrödinger equation

$$(E_n - H_0)|n\rangle = \lambda V|n\rangle,$$

and take on both sides the scalar product with the state $|m^{(0)}\rangle$, and get

$$(E_n - E_m^{(0)})\langle m^{(0)}|n\rangle = \lambda \langle m^{(0)}|V|n\rangle.$$

We correct the state $|n^{(0)}\rangle$. We write the corrected state $|n\rangle$ in the form

$$\begin{aligned} |n\rangle &= \sum_m |m^{(0)}\rangle \langle m^{(0)}|n\rangle = |n^{(0)}\rangle \langle n^{(0)}|n\rangle + \phi_n |n\rangle \\ &= |n^{(0)}\rangle + \sum_{m \neq n} |m^{(0)}\rangle \langle m^{(0)}|n\rangle, \end{aligned}$$

which has been normalized, like before,

$$\langle n^{(0)}|n\rangle = 1.$$

We substitute into this the scalar products

$$\langle m^{(0)}|n\rangle = \frac{\lambda\langle m^{(0)}|V|n\rangle}{E_n - E_m^{(0)}},$$

and end up with the fundamental equation of the *Brillouin-Wigner method*

$$|n\rangle = |n^{(0)}\rangle + \sum_{m \neq n} |m^{(0)}\rangle \frac{\lambda}{E_n - E_m^{(0)}} \langle m^{(0)}|V|n\rangle.$$

Iteration gives us the series

$$\begin{aligned} |n\rangle &= |n^{(0)}\rangle + \lambda \sum_{m \neq n} |m^{(0)}\rangle \frac{1}{E_n - E_m^{(0)}} \langle m^{(0)}|V|n^{(0)}\rangle \\ &+ \lambda^2 \sum_{m \neq n} \sum_{l \neq n} |l^{(0)}\rangle \frac{1}{E_n - E_l^{(0)}} \langle l^{(0)}|V|m^{(0)}\rangle \\ &\quad \times \frac{1}{E_n - E_m^{(0)}} \langle m^{(0)}|V|n^{(0)}\rangle \\ &+ \lambda^3 \sum_{m \neq n} \sum_{l \neq n} \sum_{k \neq n} |k^{(0)}\rangle \frac{1}{E_n - E_k^{(0)}} \langle k^{(0)}|V|l^{(0)}\rangle \\ &\quad \times \frac{1}{E_n - E_l^{(0)}} \langle l^{(0)}|V|m^{(0)}\rangle \frac{1}{E_n - E_m^{(0)}} \langle m^{(0)}|V|n^{(0)}\rangle \\ &+ \dots \end{aligned}$$

Note This is not a power series of the parameter λ because the energy denominators

$$E_n - E_m^{(0)} = E_n^{(0)} - E_m^{(0)} + \Delta_n$$

depend also on the parameter λ according to the equation

$$\Delta_n = \lambda \Delta_n^{(1)} + \lambda^2 \Delta_n^{(2)} + \dots$$