

## Time dependent perturbation theory

In the interaction picture the time evolution operator is determined by the equation

$$|\alpha, t_0; t\rangle_I = \mathcal{U}_I(t, t_0)|\alpha, t_0; t_0\rangle_I.$$

Since the time evolution of the state vectors is governed by the equation

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} |\alpha, t_0; t\rangle_I &= V_I |\alpha, t_0; t\rangle_I \\ &= V_I \mathcal{U}_I(t, t_0) |\alpha, t_0; t_0\rangle_I, \end{aligned}$$

we see that

$$i\hbar \frac{\partial \mathcal{U}_I(t, t_0)}{\partial t} |\alpha, t_0; t_0\rangle_I = V_I \mathcal{U}_I(t, t_0) |\alpha, t_0; t_0\rangle_I.$$

The interaction picture time evolution operator satisfies thus the equation

$$i\hbar \frac{d}{dt} \mathcal{U}_I(t, t_0) = V_I(t) \mathcal{U}_I(t, t_0).$$

As the initial condition we have obviously

$$\mathcal{U}_I(t, t_0)|_{t=t_0} = 1.$$

Integration gives

$$\mathcal{U}_I(t, t_0) = 1 - \frac{i}{\hbar} \int_{t_0}^t V_I(t') \mathcal{U}_I(t', t_0) dt'.$$

By iteration we end up with Dyson's series

$$\begin{aligned} \mathcal{U}_I(t, t_0) &= 1 - \frac{i}{\hbar} \int_{t_0}^t V_I(t') \left[ 1 - \frac{i}{\hbar} \int_{t_0}^{t'} V_I(t'') \mathcal{U}_I(t'', t_0) dt'' \right] dt' \\ &= 1 - \frac{i}{\hbar} \int_{t_0}^t dt' V_I(t') \\ &\quad + \left( -\frac{i}{\hbar} \right)^2 \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' V_I(t') V_I(t'') \\ &\quad + \cdots + \left( -\frac{i}{\hbar} \right)^n \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' \cdots \\ &\quad \times \int_{t_0}^{t^{(n-1)}} dt^{(n)} V_I(t') V_I(t'') \cdots V_I(t^{(n)}) \\ &\quad + \cdots. \end{aligned}$$

Let us suppose again that we have solved the problem

$$H_0 |n\rangle = E_n |n\rangle$$

completely. Let the initial state of the system be  $|i\rangle$  at the moment  $t = t_0 = 0$ , i.e.

$$|\alpha, t_0 = 0; t = 0\rangle_I = |i\rangle.$$

At the moment  $t$  this has evolved to the state

$$\begin{aligned} |i, t_0 = 0; t\rangle_I &= \mathcal{U}_I(t, 0) |i\rangle \\ &= \sum_n |n\rangle \langle n | \mathcal{U}_I(t, 0) | i \rangle. \end{aligned}$$

Here

$$\langle n | \mathcal{U}_I(t, 0) | i \rangle = c_n(t)$$

is the same as the superposition coefficient we used before. From the relation binding the interaction and Schrödinger picture state vectors we get

$$\begin{aligned} |\alpha, t_0; t\rangle_I &= e^{iH_0 t/\hbar} |\alpha, t_0; t\rangle_S \\ &= e^{iH_0 t/\hbar} \mathcal{U}(t, t_0) |\alpha, t_0; t_0\rangle_S \\ &= e^{iH_0 t/\hbar} \mathcal{U}(t, t_0) e^{-iH_0 t_0/\hbar} |\alpha, t_0; t_0\rangle_I, \end{aligned}$$

so the time evolution operators of these pictures are obtained with the help of the formula

$$\mathcal{U}_I(t, t_0) = e^{iH_0 t/\hbar} \mathcal{U}(t, t_0) e^{-iH_0 t_0/\hbar}.$$

The matrix elements of the operator  $\mathcal{U}_I(t, t_0)$  can now be calculated from the relation

$$\langle n | \mathcal{U}_I(t, t_0) | i \rangle = e^{i(E_n t - E_i t_0)/\hbar} \langle n | \mathcal{U}(t, t_0) | i \rangle.$$

We see that

- the matrix element  $\langle n | \mathcal{U}_I(t, t_0) | i \rangle$  is not quite the transition amplitude  $\langle n | \mathcal{U}(t, t_0) | i \rangle$ ,
- the transition probabilities satisfy

$$|\langle n | \mathcal{U}_I(t, t_0) | i \rangle|^2 = |\langle n | \mathcal{U}(t, t_0) | i \rangle|^2.$$

**Note** If the states  $|a'\rangle$  and  $|b'\rangle$  are not eigenstates of  $H_0$  then

$$|\langle b' | \mathcal{U}_I(t, t_0) | a' \rangle|^2 \neq |\langle b' | \mathcal{U}(t, t_0) | a' \rangle|^2.$$

In this case the matrix elements are evaluated by expanding the states  $|a'\rangle$  and  $|b'\rangle$  in the base  $\{|n\rangle\}$  formed by the eigenstates of  $H_0$ .

Let us suppose now that at the moment  $t = t_0$  the system is in the eigenstate  $|i\rangle$  of  $H_0$ . This state vector can always be multiplied by an arbitrary phase factor, so the Schrödinger picture state vector  $|i, t_0; t_0\rangle_S$  can be chosen as

$$|i, t_0; t_0\rangle_S = e^{-iE_i t_0/\hbar} |i\rangle.$$

Then in the interaction picture we have

$$|i, t_0; t_0\rangle_I = |i\rangle.$$

At the moment  $t$  this has evolved to the state

$$|i, t_0; t\rangle_I = \mathcal{U}_I(t, t_0) |i\rangle = \sum_n c_n(t) |n\rangle,$$

so

$$c_n(t) = \langle n | \mathcal{U}_I(t, t_0) | i \rangle,$$

as we already noted.

Now

1. substitute the Dyson series into this
2. expand the coefficient as a power series of the perturbation

$$c_n(t) = c_n^{(0)}(t) + c_n^{(1)}(t) + c_n^{(2)}(t) + \cdots,$$

3. equalize the terms  $c_n^{(k)}$  with the perturbation terms of the order  $k$ ,

4. denote

$$e^{i(E_n - E_i)t/\hbar} = e^{i\omega_{ni}t}.$$

We get

$$\begin{aligned} c_n^{(0)}(t) &= \delta_{ni} \\ c_n^{(1)}(t) &= -\frac{i}{\hbar} \int_{t_0}^t \langle n | V_I(t') | i \rangle dt' \\ &= -\frac{i}{\hbar} \int_{t_0}^t e^{i\omega_{ni}t'} V_{ni}(t') dt' \\ c_n^{(2)}(t) &= \left(-\frac{i}{\hbar}\right)^2 \sum_m \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' e^{i\omega_{nm}t'} V_{nm}(t') \\ &\quad \times e^{i\omega_{mi}t''} V_{mi}(t''). \end{aligned}$$

The probability for the transition from the state  $|i\rangle$  to the state  $|n\rangle$  can be written as

$$\Pr(i \rightarrow n) = |c_n(t)|^2 = |c_n^{(1)}(t) + c_n^{(2)}(t) + \dots|^2.$$

### Fermi's golden rule

Consider the constant perturbation

$$V(t) = \begin{cases} 0, & \text{when } t < 0 \\ V \text{ (time independent)} & \text{when } t \geq 0. \end{cases}$$

switched on at the moment  $t = 0$ . At the moment  $t = 0$  let the system be in the pure state  $|i\rangle$ . Now

$$\begin{aligned} c_n^{(0)} &= c_n^{(0)}(0) = \delta_{in} \\ c_n^{(1)} &= -\frac{i}{\hbar} V_{ni} \int_0^t e^{i\omega_{ni}t'} dt' \\ &= \frac{V_{ni}}{E_n - E_i} (1 - e^{i\omega_{ni}t}). \end{aligned}$$

The transition probability to the state  $|n\rangle$  is thus

$$\begin{aligned} |c_n^{(1)}|^2 &= \frac{|V_{ni}|^2}{|E_n - E_i|^2} (2 - 2 \cos \omega_{ni}t) \\ &= \frac{4|V_{ni}|^2}{|E_n - E_i|^2} \sin^2 \left[ \frac{(E_n - E_i)t}{2\hbar} \right]. \end{aligned}$$

The quantity

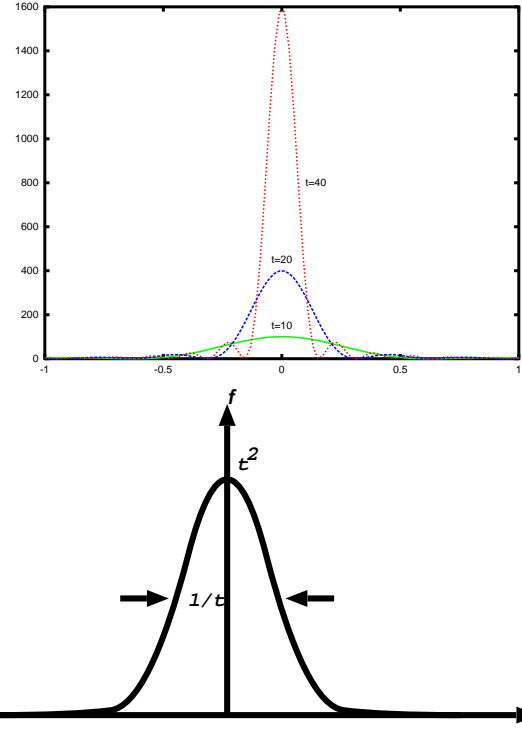
$$\omega \equiv \frac{E_n - E_i}{\hbar}$$

is almost continuous because usually the  $E_n$  states form almost a continuum. The transition probability is now

$$|c_n^{(1)}|^2 = \frac{|V_{ni}|^2}{\hbar^2} f(\omega),$$

where

$$f(\omega) = \frac{4 \sin^2 \omega t / 2}{\omega^2}.$$



When  $t$  is large then  $|c_n(t)|^2 \neq 0$  only if

$$t \approx \frac{2\pi}{\omega} = \frac{2\pi\hbar}{|E_n - E_i|}.$$

If now  $\Delta t$  is the time the perturbation has been on then transitions are possible only if

$$\Delta t \Delta E \approx \hbar.$$

**Note** If the energy is conserved exactly, i.e.

$$E_n = E_i,$$

then

$$|c_n^{(1)}(t)|^2 = \frac{1}{\hbar^2} |V_{ni}|^2 t^2.$$

The transition probability is proportional to the square of the on-time of the perturbation (and not linearly proportional to the time).

In general we are interested in transitions in which the initial state  $|i\rangle$  is fixed but the final state  $|n\rangle$  can be any state satisfying the energy conservation rule

$$E_n \approx E_i$$

The total probability for such a transition is now

$$\begin{aligned} \Pr(i \rightarrow f) &= \sum_{E_n \approx E_i} |c_n^{(1)}(t)|^2 \\ &= \int dE_n \rho(E_n) |c_n^{(1)}|^2 \\ &= 4 \int \sin^2 \left[ \frac{(E_n - E_i)t}{2\hbar} \right] \frac{|V_{ni}|^2}{|E_n - E_i|^2} \rho(E_n) dE_n. \end{aligned}$$

Here  $\rho(E)$  is the density of states, i.e.

$$\rho(E)dE = \text{the number of states between}(E, E + dE).$$

Because

$$\lim_{t \rightarrow \infty} \frac{1}{\pi} \frac{\sin^2 xt}{tx^2} = \delta(x),$$

we get

$$\begin{aligned} \lim_{t \rightarrow \infty} \frac{1}{(E_n - E_i)^2} \sin^2 \frac{E_n - E_i}{2\hbar} t &= \frac{\pi t}{4\hbar^2} \delta\left(\frac{E_n - E_i}{2\hbar}\right) \\ &= \frac{\pi t}{2\hbar} \delta(E_n - E_i). \end{aligned}$$

The transition probability is thus

$$\lim_{t \rightarrow \infty} \text{Pr}(i \rightarrow f) = \left(\frac{2\pi}{\hbar}\right) \overline{|V_{ni}|^2} \rho(E_n) t \Big|_{E_n \approx E_i},$$

where  $\overline{|V_{ni}|^2}$  is the average of the term  $|V_{ni}|^2$ .

**Note** The total transition probability depends linearly on time  $t$ .

The transition rate  $w$  is defined to be the transition probability per unit time. We end up with the Fermi golden rule

$$\begin{aligned} w_{i \rightarrow f} &= \frac{d}{dt} \left( \sum_n |c_n^{(1)}(t)|^2 \right) \\ &= \left( \frac{2\pi}{\hbar} \right) \overline{|V_{ni}|^2} \rho(E_n) \Big|_{E_n \approx E_i}. \end{aligned}$$

Quite often this is also written as

$$w_{i \rightarrow n} = \left( \frac{2\pi}{\hbar} \right) |V_{ni}|^2 \delta(E_n - E_i),$$

but then one implicitly assumes that it will be integrated in the expression  $\int dE_n \rho(E_n) w_{i \rightarrow n} \dots$ .

### Second order corrections

In the second order we got

$$\begin{aligned} c_n^{(2)}(t) &= \left(-\frac{i}{\hbar}\right)^2 \sum_m \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' e^{i\omega_{nm}t'} V_{nm}(t') \\ &\quad \times e^{i\omega_{mi}t''} V_{mi}(t''), \end{aligned}$$

so in the case of the potential

$$V(t) = \begin{cases} 0, & \text{when } t < 0 \\ V \text{ (time independent)} & \text{when } t \geq 0. \end{cases}$$

we have

$$\begin{aligned} c_n^{(2)} &= \left(-\frac{i}{\hbar}\right)^2 \sum_m V_{nm} V_{mi} \int_0^t dt' e^{i\omega_{nm}t'} \int_0^{t'} dt'' e^{i\omega_{mi}t''} \\ &= \frac{i}{\hbar} \sum_m \frac{V_{nm} V_{mi}}{E_m - E_i} \int_0^t (e^{i\omega_{ni}t'} - e^{i\omega_{nm}t'}) dt'. \end{aligned}$$

Above

- the term  $e^{i\omega_{ni}t'}$  is same as in the coefficient  $c_n^{(1)}$ , so it contributes only if  $E_n \approx E_i$  when  $t \rightarrow \infty$ .
- if  $E_m$  in the term  $e^{i\omega_{nm}t'}$  differs from  $E_n$  and at the same time from  $E_i$  it oscillates rapidly and contributes nothing.

So we can write

$$w_{i \rightarrow f} = \frac{2\pi}{\hbar} \left| \overline{V_{ni} + \sum_m \frac{V_{nm} V_{mi}}{E_i - E_m}} \right|^2 \rho(E_n) \Big|_{E_n \approx E_i}.$$

In the second order the term  $V_{nm} V_{mi}$  can be thought to describe *virtual transitions*

$$|i\rangle \longrightarrow |m\rangle \longrightarrow |n\rangle.$$

### Harmonic perturbations

Consider the potential

$$V(t) = \mathcal{V} e^{i\omega t} + \mathcal{V}^\dagger e^{-i\omega t},$$

which is again assumed to be switched on at the moment  $t = 0$ . When  $t < 0$ , the system is supposed to be in the state  $|i\rangle$ . The first order term is now

$$\begin{aligned} c_n^{(1)} &= -\frac{i}{\hbar} \int_0^t (\mathcal{V}_{ni} e^{i\omega t'} + \mathcal{V}_{ni}^\dagger e^{-i\omega t'}) e^{i\omega_{ni}t'} dt' \\ &= \frac{1}{\hbar} \left[ \frac{1 - e^{i(\omega + \omega_{ni})t}}{\omega + \omega_{ni}} \mathcal{V}_{ni} + \frac{1 - e^{i(\omega - \omega_{ni})t}}{-\omega + \omega_{ni}} \mathcal{V}_{ni}^\dagger \right]. \end{aligned}$$

This is of the same form as in the case of our earlier step potential, provided that we substitute

$$\omega_{ni} = \frac{E_n - E_i}{\hbar} \longrightarrow \omega_{ni} \pm \omega.$$

When  $t \rightarrow \infty$ ,  $|c_n^{(1)}|^2$  is thus non zero only if

$$\begin{aligned} \omega_{ni} + \omega &\approx 0 & \text{or} & & E_n &\approx E_i - \hbar\omega \\ \omega_{ni} - \omega &\approx 0 & \text{or} & & E_n &\approx E_i + \hbar\omega. \end{aligned}$$

Obviously, if the first term is important the second one does not contribute and vice versa. The energy of a quantum mechanical system is not conserved in these transitions but the "external" potential either gives (*absorption*) or takes (*stimulated emission*) energy to/from the system. Analogously to the constant potential the transition rate will be

$$w_{i \rightarrow n} = \frac{2\pi}{\hbar} |\mathcal{V}_{ni}|^2 \delta(E_n - E_i \pm \hbar\omega).$$